

20/01/2013  
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## UTILITY PATENT APPLICATION TRANSMITTAL

*Submit an original and a duplicate for fee processing*  
*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

JC879 U.S. PRO  
10/075676

02/13/02

## ADDRESS TO:

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

Attorney Docket No. 02-004  
 First Named Inventor Dirk Wiechmann  
 Express Mail No. EK920561843US  
 Total Pages

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Transmittal Form with Fee	9. <input type="checkbox"/> Assignment Papers	
2. <input checked="" type="checkbox"/> Specification (including claims and abstract) [Total Pages 44 ]	10. <input checked="" type="checkbox"/> Power of Attorney	
3. <input checked="" type="checkbox"/> Drawings [Total Sheets 13 ]	11. <input type="checkbox"/> English Translation Document (if applicable)	
4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3 ]	12. <input type="checkbox"/> Information Disclosure Statement (IDS)	
a. <input type="checkbox"/> Newly executed	<input type="checkbox"/> PTO-1449 Form	
b. <input type="checkbox"/> Copy from prior application	<input type="checkbox"/> Copies of IDS Citations	
<b>[Note Boxes 5 and 17 below]</b>		
i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in the prior application	13. <input type="checkbox"/> Preliminary Amendment	
5. <input type="checkbox"/> Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	14. <input checked="" type="checkbox"/> Return Receipt Postcard (Should be specifically itemized)	
6. <input type="checkbox"/> Computer Code Listing (See 1.96)	15. <input type="checkbox"/> Certified Copy of Priority Document(s)	
a. <input type="checkbox"/> Microfiche Appendix	16. <input checked="" type="checkbox"/> A Request for non-publication pursuant to 35 USC 122(b)(2)(B)(i)	
b. <input type="checkbox"/> CD-Rom (in duplicate, with separate transmittal)	17. <input type="checkbox"/> Other:	
7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission		
a. <input type="checkbox"/> Computer Readable Copy		
b. <input type="checkbox"/> Paper Copy		
c. <input type="checkbox"/> Statement verifying above copies		
8. <input checked="" type="checkbox"/> Small Entity Status		
<input checked="" type="checkbox"/> is claimed		
<input type="checkbox"/> Statement filed in prior application; status still proper and desired		
<input type="checkbox"/> is no longer claimed.		
18. <input type="checkbox"/> This is a CONTINUING APPLICATION. Please note the following:		
a. <input type="checkbox"/> This is a <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of prior application		
b. <input type="checkbox"/> Cancel in this application original claims of the prior application before calculating the filing fee. (At least one claim must remain.)		
c. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This is a <input type="checkbox"/> continuation <input type="checkbox"/> divisional <input type="checkbox"/> continuation-in-part of application Serial No.		

d.  The prior application is assigned of record to

UTILITY PATENT APPLICATION TRANSMITTAL				Attorney Docket No. 02-004
APPLICATION FEES				<i>140</i>
<b>BASIC FEE</b>				\$ 270.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	78 -20=	58	x \$18.00	\$ 1044
Independent Claims	10 - 3=	7	x \$8.00	\$ 588
<input checked="" type="checkbox"/> Multiple Dependent Claims(s) if applicable				+\$280.00
				Total of above calculations =
				\$ 2652
				Reduction by 50% for filing by small entity =
<input type="checkbox"/> Assignment fee if applicable				+\$40.00
				TOTAL = \$ 1326

19.  Please charge my Deposit Account No. 13-2490 in the amount of \$ 132

20.  A check in the amount of \$1194 is enclosed.

21. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 13-2490:

- a.  Fees required under 37 CFR 1.16.
- b.  Fees required under 37 CFR 1.17.
- c.  Fees required under 37 CFR 1.18.

22. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 13-2490 for any fee that may be due in connection with such a request for an extension of time.

#### 23. CERTIFICATE OF MAILING

I hereby certify that, under 37 CFR § 1.10, I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee," addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, DC 20231, on the date indicated below.

#### 24. USPTO CUSTOMER NUMBER

\*20306\*

20306

PATENT TRADEMARK OFFICE

McDonnell Boehnen Hulbert & Berghoff

#### 25. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name	Thomas A. Fairhall
Reg. No.	34,591
Signature	<i>Thomas A. Fairhall</i>
Date	February 13, 2002

UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 02-004)

In re Application of: )  
Dirk Wiechmann et al. ) Group Art Unit: not assigned  
Serial No.: TBD ) Examiner: not assigned  
Filed: herewith )  
For: Modular System for Customized )  
Orthodontic Appliances )

**NONPUBLICATION REQUEST PURSUANT TO 35 U.S.C. § 122(b)  
and 37 C.F.R. § 1.213**

Commissioner of Patents  
Washington, DC 20231

Dear Sir:

Applicants hereby request that the above-identified application filed herewith not be published under 35 U.S.C. § 122(b). This request is being submitted upon the filing of the above-reference application.

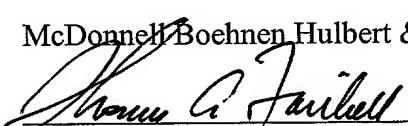
The applicants hereby certify that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

This certification and request for not publishing the application is made without prejudice to the invocation of the rescission provisions of 37 C.F.R. § 1.213(b).

Respectfully Submitted,

McDonnell Boehnen Hulbert & Berghoff

Date: 2/13/02

By:   
Thomas A. Fairhall  
Reg. No. 34,591